# **BMJ Open** Process of drug registration in Israel: the correlation between the number of discussions within the Ministry of Health and postapproval variations by EMA and/or FDA

Stephany Hiayev,<sup>1</sup> Einat Shacham-Shmueli,<sup>2,3</sup> Matitiahu Berkovitch,<sup>3,4</sup> Ilana Weiss,<sup>5</sup> Shai Ashkenazi,<sup>6</sup> Michal Hirsch Vexberg,<sup>5</sup> Rami Hershkowitz,<sup>3,7</sup> Einat Gorelik,<sup>5</sup> Haim Mayan,<sup>3,8</sup> Yehudit Steinmetz,<sup>5</sup> Noa Berar Yanai,<sup>9,10</sup> Orly Schlissel,<sup>5</sup> Muhammad Azem,<sup>5</sup> Neriya Gutgold,<sup>5</sup> Katerina Shulman,<sup>10,11</sup> Milly Divinsky,<sup>5</sup> Nirit Yarom,<sup>3,12</sup> Alla Vishkautzan,<sup>5</sup> Chezi Ganzel,<sup>1,13</sup> Moshe E Gatt,<sup>1,14</sup> Lidia Arcavi,<sup>1,15</sup> Eli Marom,<sup>5</sup> Biatrice Uziely,<sup>1,16</sup> Shoshana Zevin,<sup>1,17</sup> Hadar Meirow,<sup>5</sup> Osnat Luxenburg,<sup>18</sup> Denize Ainbinder <sup>10,5</sup>

### ABSTRACT

**To cite:** Hiayev S, Shacham-Shmueli E, Berkovitch M, *et al.* Process of drug registration in Israel: the correlation between the number of discussions within the Ministry of Health and postapproval variations by EMA and/or FDA. *BMJ Open* 2023;**13**:e067313. doi:10.1136/ bmjopen-2022-067313

Prepublication history for this paper is available online. To view these files, please visit the journal online (http://dx.doi. org/10.1136/bmjopen-2022-067313).

Received 04 September 2022 Accepted 12 April 2023

### Check for updates

© Author(s) (or their employer(s)) 2023. Re-use permitted under CC BY-NC. No commercial re-use. See rights and permissions. Published by BMJ.

For numbered affiliations see end of article.

**Correspondence to** Dr Denize Ainbinder; denizekib@gmail.com **Objectives** US FDA and EMA allow facilitated regulatory pathways to expedite access to new treatments. Limited supportive data may result in major postapproval variations. In Israel, partly relying on Food and Drug Administration (FDA) and European Medicines Agency (EMA), clinical data are reviewed independently by the Advisory Committee of Drug Registration (ACDR). In this study, the correlation between the number of discussions at the ACDR and major postapproval variations is examined.

**Design** This is an observational retrospective comparative cohort study.

**Setting** Applications with FDA and/or EMA approval at time of assessment in Israel were included. The timeframe was chosen to allow a minimum of 3 years of postmarketing approval experience for potential major label variations. Data regarding the number of discussions at ACDR were extracted from protocols. Data on postapproval major variations were extracted from the FDA and EMA websites.

**Results** Between 2014 and 2016, 226 (176 drugs) applications, met the study criteria. 198 (87.6%) and 28 (12.4%) were approved following single and multiple discussions, respectively. A major postapproval variation was recorded in 129 (65.2%) compared with 23 (82.1%) applications approved following single and multiple discussions, respectively (p=0.002). Increased risk for major variation was found for medicines approved following multiple discussions (HR=1.98, 95% CI: 1.26 to 3.09) with a median time of 1.2 years, applications approved based on phase II trials (HR=2.58, 95% CI: 1.72 to 3.87), surrogate endpoints (HR=1.99, 95% CI: 1.78 to 3.45).

**Conclusions** Multiple ACDR discussions associated with limited supportive data are predictive for major postapproval variations. Moreover, our findings

### STRENGTHS AND LIMITATIONS OF THIS STUDY

- $\Rightarrow$  This study examined more than 200 applications approved in Israel between 2014 and 2016.
- ⇒ Analysis of various parameters with possible impact on the regulatory decisions such as clinical study phase, surrogate endpoints and others was included.
- ⇒ This study focused on the two leading regulatory authorities with publicly available and open online databases, and omitted drug applications submitted to other authorities.
- ⇒ Postapproval variations made by European Medicines Agency (EMA) and Food and Drug Administration (FDA) were pulled together into one database.
- ⇒ The pathways for submission, evaluation and approval of postapproval variations by EMA and FDA are different (eg, black box warning in FDA labelling, type II variation classification by EMA, etc), which could result in different outcomes.

demonstrate that approval by the FDA and/or EMA does not pave the way to automatic approval in Israel. In a substantial per cent of the cases, submission of the same clinical data resulted in different safety and efficacy considerations, requiring additional supporting data in some cases or even rejection of the application in others.

### BACKGROUND

The WHO (World Health Organization) states that part of each country's responsibilities for public health is the maintenance of an efficient regulatory system which assures strict standards for quality, efficacy, and safety of drugs.<sup>1</sup> The US Food and Drug Administration (FDA) and European Medicines Agency (EMA) are the world's leading regulatory authorities and have established the global landscape for drug regulation. According to these two authorities, the gold standard for clinical trials required as a basis for new drug application is two phase III comparative studies, one versus placebo and the other versus standard of care. In practice, many new drug applications and especially new indications for approved drugs are submitted with partial data, occasionally with phase II trial outcomes or results of an interim analysis.<sup>1</sup>

Regulatory authorities should enable the availability of new lifesaving and breakthrough therapies which can improve and even save patients' lives. On the other hand, in order to protect the health of the public, reliable scientific data are needed regarding safety and efficacy. There is a delicate balance between facilitated access and the need for ensuring the safety and efficacy of new drugs or indications.

In recent years, various regulatory pathways, aiming to expedite access to new drugs have become available. For example, FDA has several pathways, including priority review with a shortened assessment period of 6 months (instead of 10 months).<sup>2-4</sup> Other pathways allow the submission of partial results or results based on surrogate endpoints instead of mature and final clinical outcomes.<sup>5</sup> EMA, which is responsible for the evaluation of drugs applied through the Central procedure in European Union countries,<sup>6–8</sup> established an accelerated assessment pathway, reviewing the applications within 150 days (as opposed to the 210 day timeline for regular review).<sup>9</sup> In addition, similar to FDA, EMA permits the submission of partial data to support the safety and efficacy of orphan drugs or therapies targeting diseases with no available treatment. In these cases, the drugs will be approved for a limited period of time through the conditional marketing authorisation approval. As part of the conditions of such approval, sponsors are obliged to submit additional data supporting the safety and efficacy of the drug such that full marketing authorisation could be granted. For rare conditions, where additional information cannot be produced (eg, orphan diseases or other special indications), approval under exceptional circumstances can be granted.<sup>10 11</sup> Both in FDA and EMA, these accelerated pathways are reserved for new drugs, breakthrough therapies and orphan designations.

Uncertainties related to the benefit–risk balance result in a higher incidence of postapproval major variations (addition of contraindication, warning or common or severe adverse events, indication or dosage restriction and drug withdrawal). Shepshelovich *et al* examined the postapproval variations in labelling for cancer drugs approved by FDA between 2006 and 2016 with and without supporting randomised controlled trials (RCTs), and came to the conclusion that drugs approved without supporting RCTs had a higher incidence of post-approval variations, related to common adverse events, Black Box Warnings and contraindications during postapproval follow-up.  $^{12-14} \,$ 

The Pharmaceutical Division at the Ministry of Health of Israel is responsible for the approval of new drugs and postapproval variations. The evaluation process is carried out simultaneously at the Drug Registration Department, assessing the data related to safety and efficacy, and at the Institute for Standardization and Control of Pharmaceuticals, responsible for the evaluation of the quality part of the dossier.

Most of the new drug applications are submitted in Israel following approval by health authorities in one of the recognised countries, which include the USA, the European Union, Japan, Australia, New Zealand, Canada, Switzerland, Norway and Iceland.<sup>15</sup> The evaluation of a drug application is usually done on the level of abridged assessment, relying on the pre-clinical data evaluation carried out by the health authority in one of the recognised countries.

The first stage of the drug application review is submission validation, during which assessors from the Drug Registration Department and the Institute for Standardization and Control of Pharmaceuticals verify that the file submitted meets the requirements. If accepted for assessment, the available clinical data supporting safety and efficacy are forwarded for a review of at least two external expert physicians, usually members of the Advisory Committee for Drug Registration (ACDR). The external experts are key opinion leaders in the scope of the application, and their participation in the ACDR is pending approval by the legal department of the Ministry of Health. The application is discussed in a scheduled meeting of the ACDR, where a recommendation regarding the approval of the application and conditions for approval is taken.<sup>16</sup> The ACDR's decision regarding the application could be either accepted, accepted with modifications, accepted with postapproval requirements, rejected or pending further data and clarifications.

In this study, we examined the correlation between the number of discussions per application at the ACDR in Israel, as an indicator for uncertainties regarding risk– benefit balance, and major post-approval variation by FDA or EMA.

### METHODS

### Identification of study drugs

Drug application files and protocols of the ACDR in Israel from 2014 to 2016, accessed via the Ministry of Health database, were reviewed by two researchers. Differences of opinion between the researchers were resolved by further discussion and additional data collection. Applications with FDA and/or EMA approval at the time of assessment in Israel were included in the analysis. The timeframe was chosen to allow a minimum of 3 years of postapproval experience for potential major label variations by EMA or FDA. Applications with negative benefit– risk balance as per local assessment, applications for indication restriction, dosing regimen variations, applications for biosimilar drugs, drugs administered topically, ophthalmic drugs, coagulation factors and vaccines approved only by the FDA were excluded from this analysis to avoid confounders, as these types of applications constitute a minor and insignificant part of the ACDR work. New indications for approved drugs were considered a new drug application.

The number of discussions held for each drug application (single discussion vs multiple) was recorded.

### Identification of major postpproval variations

The EMA and FDA online databases were scanned in order to confirm the regulatory pathway through which the drug was approved (regular or facilitated) and detect any major postapproval variations (date and type of variation). For drugs approved by the FDA, Drugs@FDA<sup>17</sup> database was scanned, as were data regarding regulatory approval<sup>18-22</sup> and the Drug Safety-related Labeling Changes page.<sup>23</sup> For drugs approved by the EMA, EMA's website<sup>24</sup> was scanned as was the European Commission database.<sup>25</sup> A major variation was defined as an addition of contraindication, warning or common or severe adverse event, indication or dosage restriction and drug withdrawal. The last version of each drug label available prior to drug approval in Israel was compared with the subsequent drug label versions. Drug survival was defined as the length of time from drug approval in Israel until documentation of first postapproval major variation by FDA or EMA.

### **Statistical analysis**

The correlation between drug characteristics and duration of approval (single vs multiple discussion) was explored using the  $\chi^2$  test or Fisher's exact test. Associations between single versus multiple discussions as well as drug characteristics and occurrence of postapproval major variation during the follow-up period were explored using log-rank test. Hazard ratios (HRs) were described, as were their respective 95% CIs, for both univariate and multivariate analyses using Cox regression. Data analyses were conducted using SPSS Statistics for Windows, V.25.0 (IBM Corp). All statistical tests were two-sided, and statistical significance was defined as p<0.05.

### Patient and public involvement

No patient involved

### RESULTS Drug characteristics

Between 1 January 2014 and 31 December 2016, 292 applications, previously approved by the FDA and/or EMA, were discussed in the ACDR meetings. Among these, 226 applications which included 176 drugs, met the study criteria (figure 1).

Twenty-eight (12.4%) applications were approved following multiple discussions and 198 (87.6%) were

approved following a single discussion. Characteristics of the drug applications are presented in table 1.

Most of the applications were for drugs in the field of oncology (31.0%) followed by haematology (14.6%), and infectious disease (14.6%). The majority of the applications were for drugs administered orally (43.8%), intravenously (25.2%) and subcutaneously (19.0%). The number of applications for new drug registration was 115 and 99 for new indications. One hundred (44.2%) applications were for biologic drugs.

All applications analysed in this study were previously approved by the FDA and EMA (169 and 167, respectively); of these 59 (34.9%) of 169 were approved only by FDA and 57 (34.1%) of 167 only by EMA. Eighty-nine (out of 169) drug applications were approved via one of the FDA facilitated regulatory approval pathways (52.7%), while only 25 (out of 167) were approved via EMA's facilitated pathways (15.0%). Most of the applications were approved based on phase III clinical trials (80.1%) and 40.3% were approved based on surrogate endpoints.

# Single versus multiple discussions and major postapproval variations

The median follow-up time for postapproval major variations by FDA and/or EMA was 4.3 years (95% CI: 11 days to 5.5 years). Out of 198 applications approved following a single discussion, for 129 (65.2%) applications, a postapproval major variation was recorded during the follow-up, as opposed to 23 (82.1%) out of 28 applications approved following multiple discussions (p=0.002). The median time from the approval of the application in Israel to the first recorded major variation was 2.4 years (95% CI: 1.9 to 3.0). The median time for major variation for drugs approved following a single discussion was 2.8 years (95% CI: 2.2 to 3.4) versus 1.2 years (95% CI: 0.6 to 1.8) for drugs approved following multiple discussions (p=0.002), as shown in figure 2.

After 4 years follow-up, a major variation was recorded for all drugs approved following multiple discussions vers 60% of drugs approved following a single discussion.

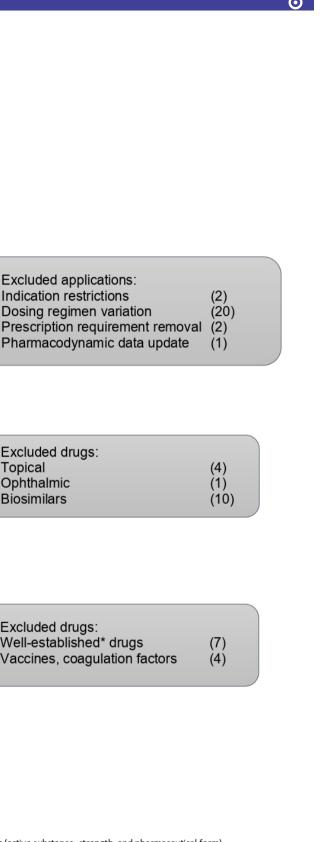
Correlations between drug characteristics and major variations are described in tables 2 and 3. Based on univariate analysis (table 2), a significant correlation was found for applications approved following multiple discussions, oncologic drugs, PO or SC administration, approvals based on phase II trials and applications based on surrogate endpoints.

In multivariate analysis, only oncologic drugs, PO administration and FDA (but not EMA) facilitated pathways were found to be statistically significant predictive factors correlated with postapproval major variations (table 3).

Since approval by FDA and/or EMA was found to be a significant predictive factor, a subcomparison was performed for each regulatory authority including three subgroups (application rejected, approved via the regular pathway and approved via facilitated pathway). For FDA approvals, a statistically significant correlation was found

292 drug applications approved by FDA and/or EMA submitted between January 2014 and December 2016

277 applications approved in Israel within the analysed timeframe.



BMJ Open: first published as 10.1136/bmjopen-2022-067313 on 4 May 2023. Downloaded from http://bmjopen.bmj.com/ on May 6, 2023 by guest. Protected by copyright

252 applications of new drug, addition of indication, new method of administration Excluded drugs: Topical Ophthalmic **Biosimilars** 237 applications included. Excluded drugs: Well-established\* drugs Vaccines, coagulation factors 226 drug applications with available post-marketing follow-up data

Excluded applications: Indication restrictions

Dosing regimen variation

Pharmacodynamic data update

- 1. A drug that was registered and marketed in Israel in the past or a similar drug (active substance, strength, and pharmaceutical form) and give was registed and intervent in a dimension in part of a similar diag factors substance, strength, and pharmaceducarion marketed in a recognized country for more than 10 years.
  The active substance is included in the Israeli National Health Services and there is no product registered in Israel with same active
- substance.
- 3. The safety profile of the drug is known, and the benefits outweighs the risks.
- 4. The drug or similar drug (same active substance, strength, and pharmaceutical form), is imported to Israel according to regulation 29C due to authorized drug marketing termination.

Figure 1 Flowchart of applications included in the dataset. EMA, European Medicines Agency; FDA, Food and Drug Administration.

<sup>\*</sup> Well-established drugs are drugs that fulfill all the following criteria

Table 1	Characteristics of drugs within the dataset and comparison between approval following single versus multiple
discussio	ons

<b>a</b>		Applications approved following single	Applications approved following multiple	
Characteristics	All applications	discussion	discussions	P*
Number of applications	226	198 (87.6%)	28 (12.4%)	
Indication, N (%)				
Autoimmune	22 (9.7%)	21 (10.6%)	1 (3.6%)	0.326
Dermatology	17 (7.5)	16 (8.1%)	1 (3.6%)	0.702
Cardiology	12 (5.3%)	11 (5.6%)	1 (3.6%)	>0.999
Oncology	70 (31.0%)	53 (26.8%)	17 (60.7%)	<0.001
Haematology	33 (14.6%)	24 (12.1%)	9 (32.1%)	0.01
Infectious disease	33 (14.6%)	28 (14.1%)	5 (17.9%)	0.573
Gastroenterology	24 (10.6%)	22 (11.1%)	2 (7.1%)	0.747
Nephrology	2 (0.9%)	2 (1.0%)	0 (0.0%)	>0.999
Pulmonology	20 (8.8%)	18 (9.1%)	2 (7.1%)	>0.999
Ophthalmology	9 (4.0%)	9 (4.5%)	0 (0.0%)	0.606
Endocrinology	29 (12.8%)	26 (13.1%)	3 (10.7%)	>0.999
Psychiatry	6 (2.7%)	6 (3.0%)	0 (0.0%)	>0.999
Rheumatology	15 (6.6%)	14 (7.1%)	1 (3.6%)	0.701
Urology	6 (2.7%)	6 (3.0%)	0 (0.0%)	>0.999
Neurology	6 (2.7%)	6 (3.0%)	0 (0.0%)	>0.999
Gynaecology	7 (3.1%)	6 (3.0%)	1 (3.6%)	>0.999
Medical Genetics	9 (4.0%)	7 (3.5%)	2 (7.1%)	0.309
Method of administration, N (%)				
PO	99 (43.8%)	87 (43.9%)	12 (42.9%)	0.914
Intravenous	57 (25.2%	47 (23.7%)	10 (35.7%)	0.172
Intramuscular	13 (5.8%)	11 (5.6%)	2 (7.1%)	0.667
SC	43 (19.0%)	39 (19.8%)	4 (14.3%)	0.488
Inhalation	4 (1.8%)	4 (2.0%)	0 (0.0%)	>0.999
Intravitreal	7 (3.1%)	7 (3.5%)	0 (0.0%)	0.601
Intrauterine	1 (0.4%)	1 (0.5%)	0 (0.0%)	>0.999
Intrabuccal	1 (0.4%)	1 (0.5%)	0 (0.0%)	>0.999
Previous approval, N (%)				
Regular approval by FDA Facilitated approval by FDA	80 (35.4%) 89 (39.4%)	72 (36.4%) 73 (36.9%)	8 (28.6%) 16 (57.1%)	0.106
Regular approval by EMA Facilitated approval by EMA	142 (62.8%) 25 (11.1%)	129 (65.2%) 21 (10.6%)	13 (46.4) 4 (14.3%)	0.15
Facilitated approval	61 (27.0%)	48 (24.2%)	13 (46.4%)	0.013
Approved based on phase II trial	33 (14.6%)	22 (11.1%)	11 (39.3%)	<0.001
Approved based on phase III trial	181 (80.1%)	165 (83.3%)	16 (57.1%)	0.001
Approved based on surrogate endpoint	91 (40.3%)	72 (36.4%)	19 (67.9%)	0.001
Type of application, N (%)				
New drug registration	115 (50.9%)	104 (52.5%)	11 (39.3%)	0.19
Addition of indication	99 (43.8)	85 (42.9%)	14 (50.0%)	0.48
New method of administration	12 (5.3)	9 (4.5%)	3 (10.7%)	0.174
Other characteristics, N (%)				
Biologic drug	100 (44.2%)	85 (42.9%)	15 (53.6%)	0.289
	(			

Values in bold are statistically significant at p<0.05. \*P value for comparison between the per cent with single versus multiple discussions. PO, per os; SC, subcutaneous; FDA, Food and Drug Administration; EMA, European Medicines Agency.

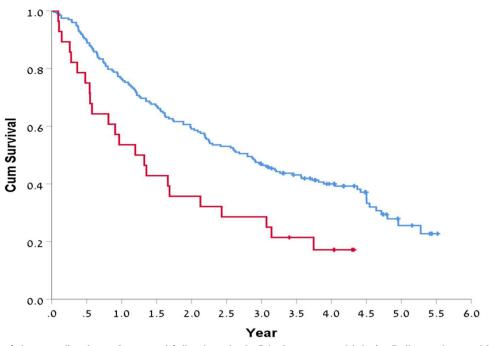


Figure 2 Survival of drug applications. Approved following single (blue) versus multiple (red) discussions, without recorded major variations.

for applications approved via facilitated pathway versus regular pathway (p<0.001) as well as versus applications rejected (p=0.023). Comparable findings were demonstrated for EMA approvals with a statistically significant correlation in applications approved via facilitated pathway versus regular pathway (p=0.001).

Higher risk for occurrence of postapproval major variation was associated with drug applications approved following multiple discussions (HR: 1.98; 95% CI: 1.26 to 3.09), as well as applications in the field of oncology (HR: 2.48; 95% CI: 1.78 to 3.45) and applications of drugs administered Per Os (PO) (HR: 1.79; 95% CI: 1.29 to 2.46). Higher risk for postapproval major variation was also associated with applications not approved by FDA, but approved by EMA (HR: 1.35; 95% CI: 0.88 to 2.09), applications approved via a facilitated pathway by the FDA (HR: 2.21; 95% CI: 1.52 to 3.21), as well as applications not approved by EMA but approved by FDA (HR: 1.41; 95% CI: 0.98 to 2.03) and applications approved via a facilitated pathway by the EMA (HR: 2.18; 95% CI: 1.35 to 3.51). An additional association was found for applications approved based on phase II clinical trial (HR: 2.58; 95% CI: 1.72 to 3.87) and applications approved based on a surrogate endpoint (HR: 1.99; 95% CI: 1.44 to 2.74). Lower risk for occurrence of major variation was found for applications approved based on phase III clinical trials (HR: 0.5; 95% CI: 0.32 to 0.67).

### DISCUSSION

To our knowledge, this is the first study which examines the association between the number of discussions as part of the application assessment process in countries partly relying on FDA and EMA assessment and the variations imposed by those same authorities' postapproval. The assessment process for new drug applications and postauthorisation variations in Israel is mainly an abridged one, focusing on quality and the clinical data supporting safety and efficacy, while relying on the evaluation of preclinical data by FDA, EMA and regulatory authorities of other recognised countries. However, approval by the FDA and/or EMA does not pave the way to automatic approval in Israel. Indeed, this study found that from 2014 to 2016, 17.0% of the applications discussed in the ACDR were not approved or approved with limitation of use (conditions for approval and/or major variations) compared with the approval by FDA and/or EMA. This finding, as well as the rate of drug applications approved following multiple discussions (12.4%), indicate that in a substantial percentage of the cases, submission of the same clinical data resulted in a different safety and efficacy considerations, requiring additional supporting data in some cases or even rejection of the application in others.

Dörr *et al*, compared between the approvals of new drug applications made by Swissmedic versus FDA and EMA. The authors reported that Swissmedic did not approve 7% of the applications approved by EMA.<sup>26</sup> As opposed to Israel, prior approval by FDA and/or EMA are not required for drug applications submitted to Swissmedic and each application is subjected to a full assessment process.

In our study, we found that among drug applications approved following multiple discussions, in which objections regarding the efficacy and safety were raised during the assessment process, the incidence of postapproval major variations was higher compared with

Characteristics	Major variation not documented*	Major variation documented*	Р
Number of applications	74	152	
Approved following multiple discussions	5 (6.8%)	23 (15.1%)	0.002
ndication, N (%)			
Autoimmune	7 (9.5%)	15 (9.9%)	0.548
Dermatology	5 (6.8%)	12 (7.9%)	0.559
Cardiology	4 (5.4%)	8 (5.3%)	0.515
Dncology	11 (14.9%)	59 (38.8%)	<0.001
Haematology	7 (9.5%)	26 (17.1%)	0.06
nfectious disease	12 (16.2%)	21 (13.8%)	0.947
Gastroenterology	5 (6.8%)	19 (12.5%)	0.137
lephrology	1 (1.4%)	1 (0.7%)	0.705
Pulmonology	8 (10.8%)	12 (7.9%)	0.415
Dphthalmology	8 (10.8%)	1 (0.7%)	0.007
Endocrinology	11 (14.9%)	18 (11.8%)	0.423
Psychiatry	2 (2.7%)	4 (2.6%)	0.774
Rheumatology	4 (5.4%)	11 (7.2%)	0.844
Jrology	1 (1.4%)	5 (3.3%)	0.231
Neurology	1 (1.4%)	5 (3.3%)	0.748
Gynaecology	4 (5.4%)	3 (2.0%)	0.246
Aedical Genetics	5 (6.8%)	4 (2.6%)	0.392
Method of administration, N (%)			
20	21 (28.4%)	78 (51.3%)	<0.001
V	19 (25.7%)	38 (25.0%)	0.492
M	6 (8.1%)	7 (4.6%)	0.134
SC	19 (25.7%)	24 (15.9%)	0.036
nhalation	1 (1.4%)	3 (2.0%)	0.529
ntravitreal	6 (8.1%)	1 (0.7%)	0.021
ntrauterine	1 (1.4%)	0 (0.0%)	0.209
ntrabuccal	0 (0.0%)	1 (0.7%)	0.718
Previous approval, N (%)			
Regular approval by FDA Facilitated approval by FDA	33 (44.6%) 20 (27.0%)	47 (30.9%) 69 (45.4%)	<0.001
Regular approval by EMA Facilitated approval by EMA	56 (75.7%) 4 (5.4%)	86 (56.6%) 21 (13.8%)	0.003
Facilitated approval	10 (13.5%)	51 (33.6%)	<0.001
Approved based on phase II trial	3 (4.1%)	30 (19.7%)	<0.001
Approved based on phase III trial	67 (90.5%)	114 (75.0%)	<0.001
Approved based on surrogate endpoint	17 (23.0%)	74 (48.7%)	<0.001
Type of application, N (%)			
New drug registration	38 (51.4%)	77 (50.7%)	0.638
Addition of indication	33 (44.6%)	66 (43.4%)	0.702
New method of administration	3 (4.1%)	9 (5.9%)	0.841
Other characteristics, N (%)			
Biologic drug	41 (55.4%)	59 (38.8%)	0.023

Values in bold are statistically significant at p<0.05. \*The percentage was calculated out of total applications for which major variation was or was not documented. The percentage was calculated out of total applications approved according to the number of discussions. EMA, European Medicines Agency; FDA, Food and Drug Administration; PO, per os; SC, subcutaneous.

Table 3	Multivariate analysis of predictive factors for drug
label ma	jor variation (Including regulatory authorities)*

label major variation (including regulatory authonities)				
Characteristics†	Hazard ratio	Confidence interval	P*	
Approved following multiple discussions	1.26	0.73-2.16	0.404	
Oncology	1.91	1.20-3.02	0.006	
PO administration	2.04	1.20-3.46	0.009	
SC administration	1.13	0.65-2.01	0.664	
Biologic drug	1.15	0.66-2.01	0.627	
Facilitated approval by FDA	1.65	1.09-2.49	0.019	
Facilitated approval by EMA	1.6	0.94-2.72	0.087	
Approved based on phase II trial	0.92	0.39-2.17	0.853	
Approved based on phase III trial	0.78	0.37-1.64	0.509	
Approved based on surrogate endpoint	1.04	0.68-1.60	0.846	

Values in bold are statistically significant at p<0.05.

\*Variables found significant in univariate analysis were included.

†In rare events, cox regression does not converge so not all significant

variables were included.

PO, per os; SC, subcutaneous.

drug applications approved following a single discussion. Furthermore, the time for first major variation by EMA and/or FDA for applications approved following multiple discussions was much shorter (1.2 vs 2.8 years) as compared with applications approved following single discussion.

Most of the applications approved following multiple discussions were in the field of oncology and haematology. An oncologic indication was found to be a predictive factor for major variations. Additionally, we found that applications based on phase II clinical trials and/or on surrogate endpoints were approved following multiple discussions and were predictive factors for major variations. Previous studies have shown that drugs indicated for oncologic and haematologic diseases are frequently approved through facilitated pathways,<sup>27</sup> and comprise the majority of drugs approved without supporting RCTs.<sup>28</sup> Typically in these cases, the primary outcome is based on a surrogate endpoint (such as overall response rate (ORR)) as opposed to the gold standard clinical outcome of overall survival (OS).<sup>13</sup> Approval using surrogate endpoints justifies the requirement for additional efficacy and safety data, in light of the possibility of a negative risk-benefit balance.

It is a well-established fact that clinical trials are limited in their ability to detect many of the long-term adverse events in the postmarketing phase.<sup>29 30</sup> Most of the new drug applications, especially in the field of oncology and haematology, are submitted based on limited reports, sometimes without RCTs, and include only common and very common adverse events.<sup>31</sup> The limited number of participants and follow-up time underestimate less common serious and non-serious adverse events. Furthermore, in many cases, the adverse events of the coadministered drugs and the manifestations of the disease, can potentially mask the adverse events of the drug, even in RCTs, resulting in limited knowledge regarding the safety profile at the time of approval.<sup>31</sup> For drugs approved through conditional or accelerated pathways, post-marketing confirmatory trials are needed for further establishing safety and efficacy.<sup>32 33</sup>

We found a correlation between major postapproval variations and approval of an application via a facilitated pathway specifically by FDA and approval following multiple discussions in Israel. In our study, approval via FDA's facilitated pathways was found as a predictive factor for major variations in multivariate analysis. According to previous studies, facilitated drug approval is more frequent in FDA compared with EMA.<sup>28 34</sup> EMA's conditional marketing authorisation is limited to new drug applications, while in the USA, both new drug applications and variations in indications can be submitted for accelerated approval, thus contributing to the higher number of facilitated pathway applications in USA. Furthermore, differences in the approved indications between EMA and FDA, based on similar safety and efficacy data, reflect the differences in the approach of each regulatory authority and the diversion in the benefit-risk balance evaluation. These differences could be the basis for postapproval variations in labelling, in view of the discrepancies in the approval between these two authorities.<sup>35 36</sup>

It is noteworthy, that besides Israel, other regulatory authorities also have approval pathways which rely on approvals by major regulatory authorities, like EMA and FDA. Singapore, for example, has abridged approval process for applications approved by one reference authority and a verification approval process for applications approved by at least two reference authorities.<sup>37</sup> In Australia, the Therapeutic Goods Administration (TGA) has a special pathway for approval of prescription medicines based on assessments from comparable overseas regulators.<sup>38</sup> In cases relying on EMA and/or FDA accelerated drug approvals, there is probably a need for thorough evaluation of the basis for approvals made by the EMA and/or FDA.

### **STUDY LIMITATIONS**

This study focused on the two leading regulatory authorities with a publicly available and open online database, omitting drug applications submitted to other authorities. Postapproval variations made by EMA and FDA were pulled together into one database. The pathways for submission, evaluation and approval of postapproval variations by EMA and FDA are different (eg, black box warning in FDA labelling, type II variation classification by EMA, etc),<sup>39 40</sup> which could result in different outcomes.

### CONCLUSIONS

The higher incidence of postapproval major variations found in drugs approved following multiple discussions

## <u>d</u>

suggests that the issues raised during those repeat discussions were justified. Multiple discussions are often done following requests for additional efficacy and/or safety information or due to further consultation with experts in the field. These findings reinforce the actions of the Drug Registration Department and its Advisory Committee as an independent decision-making process. For regulatory authorities relying on EMA and/or FDA accelerated drug approvals, a further evaluation should be considered.

### **Author affiliations**

- <sup>1</sup>Faculty of Medicine, Hebrew University of Jerusalem, Jerusalem, Israel <sup>2</sup>Oncology Department, Sheba Medical Center, Tel Hashomer, Israel
- <sup>3</sup>Faculty of Medicine, Tel Aviv University Sackler, Tel Aviv, Israel
- <sup>4</sup>Clinical Pharmacology and Toxicology Unit, Shamir Medical Center, Tzrifin, Israel <sup>5</sup>The Pharmaceutical Division, State of Israel Ministry of Health, Jerusalem, Israel <sup>6</sup>The Adelson School of Medicine, Ariel University, Ariel, Israel
- <sup>7</sup>Department of Internal Medicine T, Tel Aviv Sourasky Medical Center, Tel Aviv, Israel <sup>8</sup>Department of Internal Medicine E, Sheba Medical Center, Tel Hashomer, Israel <sup>9</sup>Nephrology Department, Hillel Yaffe Medical Center, Hadera, Israel
- <sup>10</sup>The Ruth and Bruce Rappaport Faculty of Medicine, Technion Israel Institute of Technology, Haifa, Israel
- <sup>11</sup>Oncology Institute, Carmel Medical Center, Haifa, Israel
- <sup>12</sup>Oncology Department, Shamir Medical Center, Tzrifin, Israel
- <sup>13</sup>Hematology Department, Shaare Zedek Medical Center, Jerusalem, Israel
- <sup>14</sup>Department of Hematology, Hadassah Medical Center, Jerusalem, Israel
- <sup>15</sup>Clinical Pharmacology Unit, Kaplan Medical Center, Rehovot, Israel

<sup>16</sup>Hadassah University Medical Center Sharett Institute of Oncology, Jerusalem, Israel

<sup>17</sup>Department of Internal Medicine, Shaare Zedek Medical Center, Jerusalem, Israel
<sup>18</sup>Medical Technology, Health Information and Research Director, State of Israel
Ministry of Health, Jerusalem, Israel

**Contributors** SH and ES-S equally contributed to this work by collection of data, analysis, writing and reviewing the manuscript. MB and DA supported this work and the preparation of the manuscript. IW, SA, MHV, RH, EG, HMa, YS, NBY, OS, MA, NG, KS, MD, NY, AV, CG, MEG, LA, EM, BU, SZ, HMe and OL contributed to this work by providing the data and reviewing the manuscript. DA is the guarantor.

**Funding** The authors have not declared a specific grant for this research from any funding agency in the public, commercial or not-for-profit sectors.

Competing interests None declared.

Patient and public involvement Patients and/or the public were not involved in the design, or conduct, or reporting or dissemination plans of this research.

Patient consent for publication Not applicable.

Ethics approval This manuscript does not involve human participants.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement All data relevant to the study are included in the article or uploaded as supplementary information.

**Open access** This is an open access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited, appropriate credit is given, any changes made indicated, and the use is non-commercial. See: http://creativecommons.org/licenses/by-nc/4.0/.

### ORCID iD

Denize Ainbinder http://orcid.org/0000-0003-0483-7484

### REFERENCES

- 1 World Health Organization. How to develop and implement a national drug policy. 2nd edn. World Health Organization, 2001. Available: https://apps.who.int/iris/handle/10665/42423
- 2 US food and drug administration: priority review. n.d. Available: https://www.fda.gov/patients/fast-track-breakthrough-therapyaccelerated-approval-priority-review/priority-review

- 3 US department of health and human services, food and drug administration, center for drug evaluation and research (CDER). In: Center for Biologics Evaluation and Research (CBER). Guidance for Industry. Expedited Programs for Serious Conditions- Drugs and Biologics. Available: https://www.fda.gov/files/drugs/published/ Expedited-Programs-for-Serious-Conditions-Drugs-and-Biologics. pdf
- 4 US food and drug administration: development and approval process. n.d. Available: https://www.fda.gov/drugs/developmenta pprovalprocess/default.htm
- 5 US food and drug administration: accelerated approval. n.d. Available: https://www.fda.gov/ForPatients/Approvals/Fast/ ucm405447.htm
- 6 Nagai S, Ozawa K. Regulatory approval pathways for anticancer drugs in Japan, the EU and the US. Int J Hematol 2016;104:73–84.
- 7 European medicines agency: marketing authorization. n.d. Available: https://www.ema.europa.eu/en/human-regulatory/marketingauthorisation
- 8 Council of the European Union. Regulation (EC) NO 726/2004. In: Off. J. Eur. Communities . 2004: 138. 1–15.
- 9 EMA. Guideline on the scientific application and the practical arrangements necessary to implement the procedure for accelerated assessment pursuant to article 14 (9) of regulation (EC) NO 726 / 2004. Ema 2015;44:1–8.
- 10 European medicines agency: conditional marketing authorization. n.d. Available: https://www.ema.europa.eu/en/human-regulatory/ marketing-authorisation/conditional-marketing-authorisation
- 11 European Medicines Agency. Guideline on procedures for the granting of a marketing authorization under exceptional circumstances, pursuant to article 14 (8) of regulation (EC) No 726/2004. 2005: 1–7.
- 12 Mostaghim SR, Gagne JJ, Kesselheim AS. Safety related label changes for new drugs after approval in the US through expedited regulatory pathways: retrospective cohort study. *BMJ* 2017;358:j3837.
- 13 Shepshelovich D, Tibau A, Goldvaser H, *et al.* Postmarketing modifications of drug labels for cancer drugs Approved by the US food and drug administration between 2006 and 2016 with and without supporting randomized controlled trials. *J Clin Oncol* 2018;36:1798–804.
- 14 Shepshelovich D, Tibau A, Goldvaser H, et al. Postmarketing safety-related modifications of drugs Approved by the US food and drug administration between 1999 and 2014 without randomized controlled trials. Mayo Clinic Proceedings 2019;94:74–83.
- 15 Drug application procedure: Israel Ministry of health. n.d. Available: https://www.health.gov.il/hozer/Reg08\_2012.pdf
- 16 Israel Ministry of health. n.d. Available: https://www.gov.il/en/ departments/ministry\_of\_health/govil-landing-page
- 17 Us food and drug administration: drugs @ FDA: FDA approved drug products. n.d. Available: https://www.accessdata.fda.gov/scripts/ cder/daf/
- 18 Us food and drug administration: accelerated approvals. n.d. Available: https://www.fda.gov/drugs/nda-and-bla-approvals/ accelerated-approvals
- 19 Us food and drug administration: fast track approvals. n.d. Available: https://www.fda.gov/drugs/nda-and-bla-approvals/fast-trackapprovals
- 20 Us food and drug administration: breakthrough therapy approvals. n.d. Available: https://www.fda.gov/drugs/nda-and-bla-approvals/ breakthrough-therapy-approvals
- 21 Us food and drug administration: priority NDA and bla approvals. n.d. Available: https://www.fda.gov/drugs/nda-and-bla-approvals/ priority-nda-and-bla-approvals
- 22 Us food and drug administration: efficacy supplement approvals. n.d. Available: https://www.fda.gov/drugs/nda-and-bla-approvals/ efficacy-supplement-approvals
- 23 Us food and drug administration: drug safety-related labeling changes (srlc). n.d. Available: https://www.accessdata.fda.gov/ scripts/cder/safetylabelingchanges/
- 24 European medicines agency: medicines. n.d. Available: https://www.ema.europa.eu/en/medicines
- 25 European Commission: Union register of medicinal products. n.d. Available: https://ec.europa.eu/health/documents/communityregister/html/index\_en.htm
- 26 Dörr P, Wadworth A, Wang T, et al. An analysis of regulatory timing and outcomes for new drug applications submitted to swissmedic: comparison with the US food and drug administration and the European medicines Agency. Ther Innov Regul Sci 2016;50:734–42.
- 27 Light DW, Lexchin J. Why do cancer drugs get such an easy ride? rushed approvals result in a poor deal for both patients and cancer research. *BMJ* 2015;350:2012–3.

### **Open access**

- 28 Hatswell AJ, Baio G, Berlin JA, et al. Regulatory approval of pharmaceuticals without a randomised controlled study: analysis of EMA and FDA approvals 1999-2014. BMJ Open 2016;6:e011666.
- 29 Moore TJ, Furberg CD. Development times, clinical testing, postmarket follow-up, and safety risks for the new drugs Approved by the US food and drug administration. *JAMA Intern Med* 2014;174:90.
- 30 Seruga B, Templeton AJ, Badillo FEV, et al. Under-Reporting of harm in clinical trials. *The Lancet Oncology* 2016;17:e209–19.
- 31 Le-Rademacher J, Hillman SL, Meyers J, et al. Statistical controversies in clinical research: value of adverse events relatedness to study treatment: analyses of data from randomized double-blind placebo-controlled clinical trials. *Ann Oncol* 2017;28:1183–90.
- 32 Pease AM, Krumholz HM, Downing NS, et al. Postapproval studies of drugs initially Approved by the FDA on the basis of limited evidence: systematic review. BMJ 2017;357:j1680.
- 33 Hoekman J, Klamer TT, Mantel-Teeuwisse AK, et al. Characteristics and follow-up of postmarketing studies of conditionally authorized medicines in the EU. Br J Clin Pharmacol 2016;82:213–26.
- 34 Kühler TC, Bujar M, McAuslane N, et al. To what degree are review outcomes aligned for new active substances (nass) between the European medicines Agency and the US food and drug administration? A comparison based on publicly available information for nass initially Approved in the time period 2014 to 2016. BMJ Open 2019;9:e028677.

- 35 Pouwels KB, van Grootheest K. The rosiglitazone decision process at FDA and EMA. what should we learn? Int J Risk Saf Med 2012;24:73–80.
- 36 Wolfe SM. When EMA and FDA decisions conflict: differences in patients or in regulation? *BMJ* 2013;347:bmj.f5140.
- 37 Therapeutic products guidance: guidance on therapeutic product registration in Singapore. In: *Health Sciences Authority*. 2022. Available: https://www.hsa.gov.sg/docs/default-source/hprg-tpb/ guidances/guidance-on-therapeutic-product-registration-insingapore\_aug22.pdf
- 38 Australian government- department of health and aged care: comparable overseas regulators (cors) for prescription medicines. n.d. Available: https://www.tga.gov.au/resources/resource/ guidance/comparable-overseas-regulators-cors-prescriptionmedicines
- 39 US Food and Drug Administration. Guidance for industry: warnings and precautions, contraindications, and boxed warning sections of labeling for human prescription drug and biological products – content and format. n.d. Available: https://www.fda.gov/ regulatory-information/search-fda-guidance-documents/warningsand-precautions-contraindications-and-boxed-warning-sectionslabeling-human-prescription
- 40 European medicines agency: classification of changes: questions and answers. n.d. Available: https://www.ema.europa.eu/en/humanregulatory/post-authorisation/classification-changes-questionsanswers